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|  |                      |                        |              |
|--|----------------------|------------------------|--------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 10/010,402             |              |
|  | Filing Date          | December 7, 2001       |              |
|  | First Named Inventor | Lewis et al.           |              |
|  | Group Art Unit       | 1741                   |              |
|  | Examiner Name        | Not Assigned           |              |
| Total Number of Pages in This Submission   | 6                    | Attorney Docket Number | SWAN-03CIP-2 |

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| ENCLOSURES (check all that apply)   |  |  |
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| <input type="checkbox"/> Fee Transmittal Form   | <input type="checkbox"/> Assignment Papers (for an Application)  | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Fee Attached   | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment / Response   | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application  | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                                      | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):       |
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| <input type="checkbox"/> Information Disclosure Statement                               | <input type="checkbox"/> Small Entity Statement  |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                         | <input type="checkbox"/> Request for Refund  |  |
| <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks  |  |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | The Commissioner is authorized to charge any under payment or credit any over payment to Deposit Account No. 13-0010 (SWAN-03CIP-2). |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                      |
|--|--------------------------------------|
| Firm or Individual name                    | G. Michael Roebuck (Reg. No. 35,662) |
| Signature                                  | <i>G. Michael Roebuck</i>            |
| Date                                       | February 12, 2002                    |

| CERTIFICATE OF MAILING  |                      |
|---|----------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 01/12/02 |                      |
| Typed or printed name   | Dean C. Brehm        |
| Signature   | <i>Dean C. Brehm</i> |
| Date  | 01/12/02             |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lewis et al.

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Group Art Unit: 1741

Serial No.: 10/010,402

Filed: December 7, 2001

Title: "Process and Apparatus for  
Preventing Oxidation of Metal"

Examiner: Not Assigned

Atty. Docket: SWAN-03CIP-2

BOX MISSING PARTS  
Commissioner of Patents & Trademarks  
Washington, DC 20231

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**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

In response to the form Notice to File Missing Parts of Application, mailed December 27, 2001, please find enclosed a combined Declaration and Power of Attorney, and the Fee Transmittal. Also enclosed is a copy of the form entitled Notice to File Missing Parts of an Application Filing Date Granted.

A check for the fee of \$65.00 is enclosed. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. **13-0010 (SWAN-03CIP-2)**.

Respectfully submitted,

Date: February 12, 2002

G. Michael Roebuck  
Registration No. 35,662  
MADAN, MOSSMAN & SRIRAM, P.C.  
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**CERTIFICATE OF MAILING**

I hereby certify that this paper, along with any referred to as being attached or enclosed, is being forwarded to the Commissioner of Patent and Trademarks, Washington, DC 20231, via the United States Postal Service, first class mail, postage prepaid on the 12th day of February, 2002.

  
Dean C. Brehm

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/010,402         | 12/07/2001          | Michael E. Lewis      | SWAN-03CIP-2           |

24923  
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HOUSTON, TX 77057-1130

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CONFIRMATION NO. 6115

## FORMALITIES LETTER



\*OC000000007233529\*

Date Mailed: 12/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

  
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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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